



DT05 Rec'd P07/PTO 1 4 AUG 2002

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PTO/SB/21 (08-00)

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| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing)<br><b>Total Number of Pages In This Submission:</b> | <b>Application Number</b>     | 10/069,469     |
|   | <b>Int'l. Filing Date</b>     | 02/22/02       |
|   | <b>First Named Inventor</b>   | Faust et al.   |
|   | <b>Group Art Unit</b>         | To Be Assigned |
|   | <b>Examiner Name</b>          | To Be Assigned |
|   | <b>Attorney Docket Number</b> | 1876           |

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| ENCLOSURES (check all that apply)   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declarations(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Doc(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO-1449 and 6 References<br>Postcard Receipt |
| <b>Remarks</b>  |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                                  |                                       |
|----------------------------------|---------------------------------------|
| <b>Firm Or Individual Name -</b> | Thomas F. Roland, Reg. No. 42,110     |
| <b>Signature -</b>               | <i>Thomas F. Roland</i> 8 AUGUST 2002 |
| <b>Date -</b>                    | August 8, 2002                        |

## CERTIFICATE OF MAILING

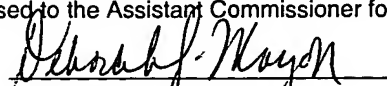
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| <b>Typed or Printed Name</b>  | Deborah J. Mouzon        |             |          |
| <b>Signature</b>  | <i>Deborah J. Mouzon</i> | <b>Date</b> | 08/08/02 |

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Deborah J. Molzon

1876

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

FAUST et al. :

Serial No. 10/069,469 :

Group Art Unit:

Filing Date: 02/22/02 :

Examiner:

For: **A POLYMER DISPERSION COMPRISING  
PARTICLES OF POLYRETHERANE AND A  
COPOLYMER OR TERPOLYMER PRODUCED  
BY EMULSION POLYMERISATION OF  
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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.97

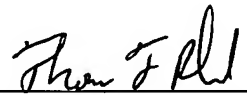
Commissioner for Patents  
Washington, D. C. 20231

SIR:

In compliance with Applicants' duty of disclosure under 37 C.F.R. 1.56, and in accordance with 37 C.F.R. 1.98, Applicants' direct the Examiner's attention to the publications listed on the PTO #1449 form which is being submitted herewith. Copies of all publications listed on the #1449 form are being submitted herewith.

The disclosure of the above references does not constitute an admission that they are relevant or material to the claims or are "prior art" to the subject application. The citation of them is not to be construed as a representation that no better art exists or that a search has been made; they are cited merely as constituting collectively the closest art of which applicants are aware.

Respectfully submitted,

  
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National Starch and Chemical Company  
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August 8, 2002

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SHEET 1 OF 1

Form PTO-1449  
(REV. 7/80)U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. 1876

Serial No.  
10/069,469**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

Applicant: Faust et al.

Filing Date: 02/22/02

Group

**U.S. PATENT DOCUMENTS**

| *Examiner Initial |    | Document Number | Date     | Name           | Class | Subclass | Filing Date if Appropriate |
|-------------------|----|-----------------|----------|----------------|-------|----------|----------------------------|
|                   | AA | US 6,063,861    | 05/16/00 | Irle et al.    | 524   | 591      |                            |
|                   | AB | US 5,594,065    | 01/14/97 | Tien et al.    | 524   | 507      |                            |
|                   | AC | US 5,539,018    | 07/23/96 | Luhmann et al. | 523   | 201      |                            |
|                   | AD |                 |          |                |       |          |                            |
|                   | AE |                 |          |                |       |          |                            |
|                   | AF |                 |          |                |       |          |                            |
|                   | AG |                 |          |                |       |          |                            |
|                   | AH |                 |          |                |       |          |                            |
|                   | AI |                 |          |                |       |          |                            |
|                   | AJ |                 |          |                |       |          |                            |
|                   | AK |                 |          |                |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

|  |    | Document Number | Date     | Country | Class | Subclass | Translation |   |
|--|----|-----------------|----------|---------|-------|----------|-------------|---|
|  |    |                 |          |         |       |          | Yes         | N |
|  | AL | EP 0 933 412 A1 | 04/08/99 | EP      | CO9J  | 151/00   |             |   |
|  | AM | EP 0 705 855 A2 | 10/04/96 | EP      | CO8G  | 18/08    |             |   |
|  | AN | EP 0 309 113    | 03/29/89 | EP      | CO8L  | 75/04    |             |   |
|  | AO |                 |          |         |       |          |             |   |
|  | AP |                 |          |         |       |          |             |   |

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

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|  | AR |  |
|  | AS |  |
|  | AT |  |

**EXAMINER****DATE CONSIDERED****\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.